S/N

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Forehand, et al.

Examiner:

Serial No.:

Group Art Unit:

Filed:

Docket No.:

STL9831/40046.137USU1

Title:

NON-VOLATILE WRITE CACHE, IN A DISC DRIVE, USING AN

ALTERNATE POWER SOURCE

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL719664814US

Date of Deposit: June 27, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Name: Mary C. Nøtter

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not

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represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Dated:

23552

Respectfully submitted

John R. Wahl, #33,044 Merchant & Gould P.C.

P.O. Box 2903

Minneapolis, MN 55402-0903

303.357.1644

FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: STL9831/40046.137USU	Application Number:	5. PTC	
IN AN APPLICATION	Applicant: Forehand, et a	Applicant: Forehand, et al.		
(Use several sheets if necessary)	Filing Date:	Group Art Unit:	96	
			100	

NITIAL				U.S. PATENT DOCUMEN	TS				
- 5,420,983 5/30/95 Noya, et al. 395 275 - 5,448,719 9/5/95 Schultz, et al. 395 182.03 - 5,551,002 8/27/96 Rosich, et al. 395 461 - 5,598,395 1/28/97 Watanabe 369 116 - 5,636,355 6/3/97 Ramakrishnan, et al. 395 440 - 5,680,539 10/21/97 Jones 395 182.04 - 5,761,709 6/2/98 Kranich 711 122 - 5,765,193 6/9/98 Rosich, et al. 711 136 - 5,813,024 9/22/98 Saito 711 113 - 5,829,018 10/27/98 Moertl, et al. 711 113 - 5,829,019 10/27/98 Thompson, et al. 711 113 - 5,800,113 1/1/2/99 Tung 711 144 - 5,900,016 5/4/99 Ghosh 711 147 - 5,991,852 11/23/99 Bagley 711 112 - 6,009,498 12/28/99 Kumasawa, et al. 711 113 <td c<="" td=""><td>EXAMINER INITIAL</td><td>DOCUMENT NO.</td><td>DATE</td><td>NAME</td><td>CLASS</td><td>SUBCLASS</td><td></td><td></td></td>	<td>EXAMINER INITIAL</td> <td>DOCUMENT NO.</td> <td>DATE</td> <td>NAME</td> <td>CLASS</td> <td>SUBCLASS</td> <td></td> <td></td>	EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS		
S,448,719		4,912,632	3/27/90	Gach, et al.	364	200		_	
S,551,002 8/27/96 Rosich, et al. 395 461		- 5,420,983	5/30/95	Noya, et al.	395	275			
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5,636,355 6/3/97 Ramakrishnan, et al. 395 440 5,680,539 10/21/97 Jones 395 182.04 5,761,709 6/2/98 Kranich 711 122 5,765,193 6/9/98 Rosich, et al. 711 136 5,813,024 9/22/98 Saito 711 113 5,829,018 10/27/98 Moertl, et al. 711 113 5,829,019 10/27/98 Thompson, et al. 711 113 5,860,113 1/12/99 Tung 711 144 5,900,016 5/4/99 Ghosh 711 143 5,991,852 11/23/99 Bagley 711 112 - 6,009,498 12/28/99 Kumasawa, et al. 711 113 FOREIGN PATENT DOCUMENTS DOCUMENT NO. DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO		5,551,002	8/27/96	Rosich, et al.	395	461		_	
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5,829,018		5,765,193	6/9/98	Rosich, et al.	711	136			
5,829,019		- 5,813,024	9/22/98	Saito	711	113			
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5,900,016 5/4/99 Ghosh 711 143		5,829,019	10/27/98	Thompson, et al.	711	113			
5,900,018 5/4/99 Lynch 711 147		5,860,113	1/12/99	Tung	711	144			
5,991,852		5,900,016	5/4/99	Ghosh	711	143			
- 6,009,498 12/28/99 Kumasawa, et al. 711 113 FOREIGN PATENT DOCUMENTS DOCUMENT NO. DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO		5,900,018	5/4/99	Lynch	711	147			
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DOCUMENT NO. DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO	- 6,009,498		12/28/99	Kumasawa, et al.	711	113			
YES NO	-		F(DREIGN PATENT DOCUM	IENTS				
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							YES	NO	
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PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.